# UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION	No. 2:12-md-02323-AB MDL No. 2323
THIS DOCUMENT RELATES TO: ALL ACTIONS	Hon. Anita B. Brody
ORDER  AND NOW, this day of August, 2017, pursuant to Federal Rule of Evidence	
706, it is <b>ORDERED</b> that:	
• On or before August 31, 2017, any interested party must show cause why the Court	
should not appoint an expert witness on attorneys' fees;	
• On or before August 31, 2017, any interested party must show cause why, having	
obtained his consent to serve as an expert witness in this matter, the Court should not	
appoint Professor William B. Rubenstein	n (CV attached) to serve as an expert witness on
attorneys' fees.	ANITA B. BRODY, J.
Conies VIA FCF on to:	Conies MAILED on to

# PROFESSOR WILLIAM B. RUBENSTEIN

Harvard Law School - AR323 1545 Massachusetts Avenue Cambridge, MA 02138 (617) 496-7320 rubenstein@law.harvard.edu

#### ACADEMIC EMPLOYMENT

HARVARD LAW SCHOOL, CAMBRIDGE MA

Sidley Austin Professor of Law

Professor of Law

2007-2011

Bruce Bromley Visiting Professor of Law

Visiting Professor of Law

2006-2007

Visiting Professor of Law

2003-2004, 2005-2006

Lecturer in Law

1990-1996

Lecturer in Law Courses:

Civil Procedure; Class Action Law; Remedies

Awards:

2012 Albert M. Sacks-Paul A. Freund Award for Teaching Excellence

Membership:

American Law Institute; American Bar Foundation Fellow

UCLA SCHOOL OF LAW, LOS ANGELES CA

Professor of Law 2002-2007 Acting Professor of Law 1997-2002

Courses:

Civil Procedure; Complex Litigation; Remedies

Awards:

2002 Rutter Award for Excellence in Teaching

Top 20 California Lawyers Under 40, Calif. Law Business (2000)

STANFORD LAW SCHOOL, STANFORD CA

Acting Associate Professor of Law

1995-1997

Courses:

Civil Procedure; Federal Litigation

Awards:

1997 John Bingham Hurlbut Award for Excellence in Teaching

YALE LAW SCHOOL, NEW HAVEN CT

Lecturer in Law

1994, 1995

BENJAMIN N. CARDOZO SCHOOL OF LAW, NEW YORK NY

Visiting Professor

Summer 2005

# LITIGATION-RELATED EMPLOYMENT

AMERICAN CIVIL LIBERTIES UNION, NATIONAL OFFICE, NEW YORK NY

Project Director and Staff Counsel

1987-1995

Litigated impact cases in federal and state courts throughout the US. Supervised a staff of attorneys at the national office, oversaw work of ACLU attorneys around the country, and coordinated work with private cooperating counsel nationwide. Significant experience in complex litigation practice and procedural issues; appellate litigation; litigation coordination, planning and oversight.

HON. STANLEY SPORKIN, U.S. DISTRICT COURT, WASHINGTON DC

Law Clerk

1986-87

PUBLIC CITIZEN LITIGATION GROUP, WASHINGTON DC

Intern

Summer 1985

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#### **EDUCATION**

HARVARD LAW SCHOOL, CAMBRIDGE MA J.D., 1986, magna cum laude

YALE COLLEGE, NEW HAVEN CT
B.A., 1982, magna cum laude
Editor-in-Chief, YALE DAILY NEWS

# SELECTED COMPLEX LITIGATION EXPERIENCE

# Professional Service and Highlighted Activities

- ♦ Author, NEWBERG ON CLASS ACTIONS (sole author of Fourth Edition updates since 2008 and sole author of all content in the Fifth Edition)
- Speaker, Judicial Panel on Multidistrict Litigation, Multidistrict Litigation (MDL) Transferee Judges Conference, Palm Beach, Florida (invited to present to MDL judges on recent developments in class action law and related topics (2010, 2011, 2012, 2013, 2014 (invited), 2015, 2016, 2017)
- ♦ Special counsel, Appointed by the United States Court of Appeals for the Second Circuit to argue for affirmance of district court fee decision in complex securities class action, with result that the Court summarily affirmed the decision below (In re Indymac Mortgage-Backed Securities Litigation, 94 F.Supp.3d 517 (S.D.N.Y. 2015), aff'd sub. nom., Berman DeValerio v. Olinsky, No. 15-1310-cv, 2016 WL 7323980 (2d Cir. Dec. 16, 2017))
- ♦ Author, Amicus brief filed in the United States Supreme Court on behalf of civil procedure and complex litigation law professors concerning the importance of the class action lawsuit (AT&T Mobility v. Concepcion, No. 09-893, 131 S. Ct. 1740 (2011))
- ♦ Amicus curiae, Amicus brief filed in and approvingly cited by California Supreme Court on proper approach to attorney's fees in common fund cases (Laffitte v. Robert Half Int'l Inc., 376 P.3d 672, 687 (Cal. 2016))
- Adviser, American Law Institute, Project on the Principles of the Law of Aggregate Litigation, Philadelphia, Pennsylvania
- ♦ Advisory Board, Class Action Law Monitor (Strafford Publications), 2008-
- Co-Chair, ABA Litigation Section, Mass Torts Committee, Class Action Sub-Committee, 2007
- Planning Committee, American Bar Association, Annual National Institute on Class Actions Conference, 2006, 2007
- ♦ "Expert's Corner" (Monthly Column), Class Action Attorney Fee Digest, 2007-2011

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# Expert Witness

- Retained as an expert witness and submitted report explaining meaning of the denial of a motion to dismiss in American procedure to foreign tribunals (*In re Qualcomm Antitrust Matter*, declaration submitted to tribunals in Korea and Taiwan (2017))
- Submitted an expert witness declaration concerning reasonableness of attorney's fee request in 3.0-liter settlement, referenced by court in awarding fees (*In re Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation,* 2017 WL 3175924 (N.D. Cal. July 21, 2017))
- Retained as an expert witness concerning impracticability of joinder in antitrust class action (*In re Celebrex (Celecoxib) Antitrust Litigation*, Civ. Action No. 2-14-cv-00361 (E.D. Va. (2017))
- Submitted an expert witness declaration and deposed concerning impracticability of joinder in antitrust class action (*In re Modafinil Antitrust Litigation*, Civ. Action No. 2-06-cv-01797 (E.D. Pa. (2017))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request in 2.0-liter settlement (*In re Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation,* 2017 WL 1047834 (N.D. Cal., March 17, 2017))
- Submitted an expert witness declaration concerning reasonableness of attorney's fee request, referenced by court in awarding fees (*Aranda v. Caribbean Cruise Line, Inc.*, 2017 WL 1368741 (N.D. Ill., April 10, 2017))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request (McKinney v. United States Postal Service, Civil Action No. 1:11-cv-00631 (D.D.C. (2016))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request (*Johnson v. Caremark RX, LLC*, Case No. 01-CV-2003-6630, Alabama Circuit Court, Jefferson County (2016))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request in sealed fee mediation (2016)
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request (Geancopoulos v. Philip Morris USA Inc., Civil Action No. 98-6002-BLS1 (Mass. Superior Court, Suffolk County))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request in sealed fee mediation (2016)
- Submitted an expert witness declaration concerning reasonableness of attorney's fee request (*Gates v. United Healthcare Insurance Company*, Case No. 11 Civ. 3487 (S.D.N.Y. 2015))
- Retained as an expert trial witness on class action procedures and deposed prior to trial in matter

- that settled before trial (Johnson v. Caremark RX, LLC, Case No. 01-CV-2003-6630, Alabama Circuit Court, Jefferson County (2016))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request, referenced by court in awarding fees (*In re High-Tech Employee Antitrust Litig.*, 2015 WL 5158730 (N.D. Cal. Sept. 2, 2015))
- ♦ Retained as an expert witness concerning adequacy of putative class representatives in securities class action (*Medoff v. CVS Caremark Corp.*, Case No. 1:09-cv-00554 (D.R.I. (2015))
- Submitted an expert witness declaration concerning reasonableness of proposed class action settlement, settlement class certification, attorney's fees, and incentive awards (*Fitzgerald Farms, LLC v. Chespeake Operating, L.L.C.*, Case No. CJ-2010-38, Dist. Ct., Beaver County, Oklahoma (2015))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request, referenced by court in awarding fees (*Asghari v. Volkswagen Grp. of Am., Inc.*, 2015 WL 12732462 (C.D. Cal. May 29, 2015))
- ♦ Submitted an expert witness declaration concerning propriety of severing individual cases from class action and resulting statute of repose ramifications (*In re: American International Group, Inc. 2008 Securities Litigation,* 08-CV-4772-LTS-DCF (S.D.N.Y. (2015))
- Retained by Fortune Global 100 Corporation as an expert witness on fee matter that settled before testimony (2015)
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request (*In re: Hyundai and Kia Fuel Economy Litigation*, MDL 13-02424 (C.D. Cal. (2014))
- ♦ Submitted an expert witness declaration concerning reasonableness of attorney's fee request (Ammari Electronics v. Pacific Bell Directory, Case No. RG0522096, California Superior Court, Alameda County (2014))
- Submitted an expert witness declaration and deposed concerning plaintiff class action practices under the Private Securities Litigation Reform Act of 1995 (PSLRA), as related to statute of limitations question (Federal Home Loan Bank of San Francisco v. Deutsche Bank Securities, Inc., Case No. CGC-10-497839, California Superior Court, San Francisco County (2014))
- ♦ Submitted an expert witness declaration and deposed concerning plaintiff class action practices under the Private Securities Litigation Reform Act of 1995 (PSLRA), as related to statute of limitations question (Federal Home Loan Bank of San Francisco v. Credit Suisse Securities (USA) LLC, Case No. CGC-10-497840, California Superior Court, San Francisco County (2014))
- ♦ Retained as expert witness on proper level of common benefit fee in MDL (*In re Neurontin Marketing and Sales Practice Litigation*, Civil Action No. 04-10981, MDL 1629 (D. Mass. (2014))
- ♦ Submitted an expert witness declaration concerning Rule 23(g) selection of competing counsel,

- referenced by court in deciding issue (White v. Experian Information Solutions, Inc., 993 F. Supp. 2d 1154 (C.D. Cal. (2014))
- Submitted an expert witness declaration concerning proper approach to attorney's fees under California law in a statutory fee-shifting case (*Perrin v. Nabors Well Services Co.*, Case No. 1220037974, Judicial Arbitration and Mediation Services (JAMS) (2013))
- Submitted an expert witness declaration concerning fairness and adequacy of proposed nationwide class action settlement (*Verdejo v. Vanguard Piping Systems*, Case No. BC448383, California Superior Court, Los Angeles County (2013))
- Retained as an expert witness regarding fairness, adequacy, and reasonableness of proposed nationwide consumer class action settlement (*Herke v. Merck*, No. 2:09-cv-07218, MDL Docket No. 1657 (*In re Vioxx Products Liability Litigation*) (E. D. La. (2013))
- ♦ Retained as an expert witness concerning ascertainability requirement for class certification and related issues (*Henderson v. Acxiom Risk Mitigation*, *Inc.*, Case No. 3:12-cv-00589-REP (E.D. Va. (2013))
- ♦ Submitted an expert witness declaration concerning reasonableness of class action settlement and performing analysis of "net expected value" of settlement benefits, relied on by court in approving settlement (*In re Navistar Diesel Engine Products Liab. Litig.*, 2013 WL 10545508 (N.D. Ill. July 3, 2013))
- ♦ Submitted an expert witness declaration concerning reasonableness of class action settlement and attorney's fee request (Commonwealth Care All. v. Astrazeneca Pharm. L.P., 2013 WL 6268236 (Mass. Super. Aug. 5, 2013))
- Submitted an expert witness declaration concerning propriety of preliminary settlement approval in nationwide consumer class action settlement (*Anaya v. Quicktrim, LLC*, Case No. CIVVS 120177, California Superior Court, San Bernardino County (2012))
- ♦ Submitted expert witness affidavit concerning fee issues in common fund class action (*Tuttle v. New Hampshire Med. Malpractice Joint Underwriting Assoc.*, Case No. 217-2010-CV-00294, New Hampshire Superior Court, Merrimack County (2012))
- ♦ Submitted expert witness declaration and deposed concerning class certification issues in nationwide fraud class action, relied upon by the court in affirming class certification order (CVS Caremark Corp. v. Lauriello, 175 So. 3d 596, 609-10 (Ala. 2014))
- ♦ Submitted expert witness declaration in securities class action concerning value of proxy disclosures achieved through settlement and appropriate level for fee award (*Rational Strategies Fund v. Jhung, Case No. BC* 460783, California Superior Court, Los Angeles County (2012))
- ♦ Submitted an expert witness report and deposed concerning legal malpractice in the defense of a class action lawsuit (*KB Home v. K&L Gates, LLP*, Case No. BC484090, California Superior Court, Los Angeles County (2011))

- Retained as expert witness on choice of law issues implicated by proposed nationwide class certification (Simon v. Metropolitan Property and Cas. Co., Case No. CIV-2008-1008-W (W.D. Ok. (2011))
- Retained, deposed, and testified in court as expert witness in fee-related dispute (*Blue, et al. v. Hill*, Case No. 3:10-CV-02269-O-BK (N.D. Tex. (2011))
- Retained as an expert witness in fee-related dispute (Furth v. Furth, Case No. C11-00071-DMR (N.D. Cal. (2011))
- ♦ Submitted expert witness declaration concerning interim fee application in complex environmental class action (*DeLeo v. Bouchard Transportation*, Civil Action No. PLCV2004-01166-B, Massachusetts Superior Court (2010))
- Retained as an expert witness on common benefit fee issues in MDL proceeding in federal court (*In re Vioxx Products Liability Litigation*, MDL Docket No. 1657 (E.D. La. (2010))
- ♦ Submitted expert witness declaration concerning fee application in securities case, referenced by court in awarding fee (*In re AMICAS, Inc. Shareholder Litigation, 27 Mass. L. Rptr. 568* (Mass. Sup. Ct. (2010))
- ♦ Submitted an expert witness declaration concerning fee entitlement and enhancement in non-common fund class action settlement, relied upon by the court in awarding fees (*Parkinson v. Hyundai Motor America*, 796 F.Supp.2d 1160, 1172-74 (C.D. Cal. 2010))
- Submitted an expert witness declaration concerning class action fee allocation among attorneys (Salvas v. Wal-Mart, Civil Action No. 01-03645, Massachusetts Superior Court (2010))
- Submitted an expert witness declaration concerning settlement approval and fee application in wage and hour class action settlement (Salvas v. Wal-Mart, Civil Action No. 01-03645, Massachusetts Superior Court (2010))
- ♦ Submitted an expert witness declaration concerning objectors' entitlement to attorney's fees (Rodriguez v. West Publishing Corp., Case No. CV-05-3222 (C.D. Cal. (2010))
- ♦ Submitted an expert witness declaration concerning fairness of settlement provisions and processes, relied upon by the Ninth Circuit in reversing district court's approval of class action settlement (*Radcliffe v. Experian Inform. Solutions Inc.*, 715 F.3d 1157, 1166 (9th Cir. 2013))
- ♦ Submitted an expert witness declaration concerning attorney's fees in class action fee dispute, relied upon by the court in deciding fee issue (*Ellis v. Toshiba America Information Systems, Inc.*, 218 Cal. App. 4th 853, 871, 160 Cal. Rptr. 3d 557, 573 (2d Dist. 2013))
- Submitted an expert witness declaration concerning common benefit fee in MDL proceeding in federal court (*In re Genetically Modified Rice Litigation*, MDL Docket No. 1811 (E.D. Mo. (2009))

- Submitted an expert witness declaration concerning settlement approval and fee application in national MDL class action proceeding (*In re Wal-Mart Wage and Hour Employment Practices Litigation*, MDL Docket No.1735 (D. Nev. (2009))
- ♦ Submitted an expert witness declaration concerning fee application in national MDL class action proceeding, referenced by court in awarding fees (*In re Dept. of Veterans Affairs (VA) Data Theft Litigation*, 653 F. Supp.2d 58 (D.D.C. (2009))
- ♦ Submitted an expert witness declaration concerning common benefit fee in mass tort MDL proceeding in federal court (*In re Kugel Mesh Products Liability Litigation*, MDL Docket No. 1842 (D. R.I. (2009))
- ♦ Submitted an expert witness declaration and supplemental declaration concerning common benefit fee in consolidated mass tort proceedings in state court (*In re All Kugel Mesh Individual Cases*, Master Docket No. PC-2008-9999, Superior Court, State of Rhode Island (2009))
- ♦ Submitted an expert witness declaration concerning fee application in wage and hour class action (Warner v. Experian Information Solutions, Inc., Case No. BC362599, California Superior Court, Los Angeles County (2009))
- Submitted an expert witness declaration concerning process for selecting lead counsel in complex MDL antitrust class action (In re Rail Freight Fuel Surcharge Antitrust Litigation, MDL Docket No. 1869 (D. D.C. (2008))
- ♦ Retained, deposed, and testified in court as expert witness on procedural issues in complex class action (*Hoffman v. American Express*, Case No. 2001-022881, California Superior Court, Alameda County (2008))
- ♦ Submitted an expert witness declaration concerning fee application in wage and hour class action (Salsgiver v. Yahoo! Inc., Case No. BC367430, California Superior Court, Los Angeles County (2008))
- ♦ Submitted an expert witness declaration concerning fee application in wage and hour class action (*Voight v. Cisco Systems, Inc.*, Case No. 106CV075705, California Superior Court, Santa Clara County (2008))
- ♦ Retained and deposed as expert witness on fee issues in attorney fee dispute (*Stock v. Hafif, Case No. KC034700*, California Superior Court, Los Angeles County (2008))
- ♦ Submitted an expert witness declaration concerning fee application in consumer class action (*Nicholas v. Progressive Direct*, Civil Action No. 06-141-DLB (E.D. Ky. (2008))
- ♦ Submitted expert witness declaration concerning procedural aspects of national class action arbitration (*Johnson v. Gruma Corp.*, JAMS Arbitration No. 1220026252 (2007))
- ♦ Submitted expert witness declaration concerning fee application in securities case (*Drulias v. ADE Corp.*, Civil Action No. 06-11033 PBS (D. Mass. (2007))

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- Submitted expert witness declaration concerning use of expert witness on complex litigation matters in criminal trial (*U.S. v. Gallion, et al.*, No. 07-39 (E. D. Ky. (2007))
- Retained as expert witness on fees matters (*Heger v. Attorneys' Title Guaranty Fund, Inc.*, No. 03-L-398, Illinois Circuit Court, Lake County, IL (2007))
- ♦ Retained as expert witness on certification in statewide insurance class action (Wagner v. Travelers Property Casualty of America, No. 06CV338, Colorado District Court, Boulder County, CO (2007))
- ♦ Testified as expert witness concerning fee application in common fund shareholder derivative case (In Re Tenet Health Care Corporate Derivative Litigation, Case No. 01098905, California Superior Court, Santa Barbara Cty, CA (2006))
- ♦ Submitted expert witness declaration concerning fee application in common fund shareholder derivative case (*In Re Tenet Health Care Corp. Corporate Derivative Litigation*, Case No. CV-03-11 RSWL (C.D. Cal. (2006))
- Retained as expert witness as to certification of class action (*Canova v. Imperial Irrigation District*, Case No. L-01273, California Superior Court, Imperial Cty, CA (2005))
- Retained as expert witness as to certification of nationwide class action (*Enriquez v. Edward D. Jones & Co.*, Missouri Circuit Court, St. Louis, MO (2005))
- Submitted expert witness declaration on procedural aspects of international contract litigation filed in court in Korea (*Estate of Wakefield v. Bishop Han & Jooan Methodist Church* (2002))
- Submitted expert witness declaration as to contested factual matters in case involving access to a public forum (*Cimarron Alliance Foundation v. The City of Oklahoma City*, Case No. Civ. 2001-1827-C (W.D. Ok. (2002))
- Submitted expert witness declaration concerning reasonableness of class certification, settlement, and fees (*Baird v. Thomson Elec. Co.*, Case No. 00-L-000761, Cir. Ct., Mad. Cty, IL (2001))

## Expert Consultant

- Provided expert consulting services to the ACLU on multi-district litigation issues arising out of various challenges to President Trump's travel ban and related policies (In re American Civil Liberties Union Freedom of Information Act Requests Regarding Executive Order 13769, Case Pending No. 28, Judicial Panel on Multidistrict Litigation (2017); Darweesh v. Trump, Case No. 1:17-cv-00480-CBA-LB (E.D.N.Y. (2017))
- ♦ Provided expert consulting services to law firm regarding billing practices and fee allocation issues in nationwide class action (2016)

- Provided expert consulting services to law firm regarding fee allocation issues in nationwide class action (2016)
- ♦ Provided expert consulting services to the ACLU of Southern California on class action and procedural issues arising out of challenges to municipality's treatment of homeless persons with disabilities (Glover v. City of Laguna Beach, Case No. 8:15-cv-01332-AG-DFM (C.D. Cal. (2016))
- ♦ Retained as an expert consultant on class certification issues (In re: Facebook, Inc., IPO Securities and Derivative Litigation, No. 1:12-md-2389 (S.D.N.Y. 2015))
- Provided expert consulting services to lead class counsel on class certification issues in nationwide class action (2015)
- Retained by a Fortune 100 Company as an expert consultant on class certification issues
- Retained as an expert consultant on class action and procedure related issues (*Lange et al v. WPX Energy Rocky Mountain LLC*, Case No. #: 2:13-cv-00074-ABJ (D. Wy. (2013))
- ♦ Retained as an expert consultant on class action and procedure related issues (*Flo & Eddie, Inc., v. Sirius XM Radio, Inc.*, Case No. CV 13-5693 (C.D. Cal. (2013))
- Served as an expert consultant on substantive and procedural issues in challenge to legality of credit card late and over-time fees (*In Re Late Fee and Over-Limit Fee Litigation*, 528 F.Supp.2d 953 (N.D. Cal. 2007), aff'd, 741 F.3d 1022 (9th Cir. 2014))
- Retained as an expert on Class Action Fairness Act (CAFA) removal issues and successfully briefed and argued remand motion based on local controversy exception (*Trevino*, et al. v. Cummins, et al., No. 2:13-cv-00192-JAK-MRW (C. D. Cal. (2013))
- ♦ Retained as an expert consultant on class action related issues by consortium of business groups (In re Oil Spill by the Oil Rig Deepwater Horizon in the Gulf of Mexico on April 20, 2010, MDL No. 2179 (E.D. La. (2012))
- ♦ Provided presentation on class certification issues in nationwide medical monitoring classes (*In re: National Football League Players' Concussion Injury Litigation*, MDL No. 2323, Case No. 2:12-md-02323-AB (E.D. Pa. (2012))
- ♦ Retained as an expert consultant on class action related issues in mutli-state MDL consumer class action (*In re Sony Corp. SXRD Rear Projection Television Marketing, Sales Practices & Prod. Liability Litig.*, MDL No. 2102 (S.D. N.Y. (2009))
- ♦ Retained as an expert consultant on class action certification, manageability, and related issues in mutli-state MDL consumer class action (*In re Teflon Prod. Liability Litig.*, MDL No. 1733 (S.D. Iowa (2008))
- Retained as an expert consultant/co-counsel on certification, manageability, and related issues in nationwide anti-trust class action (*Brantley v. NBC Universal*, No.- CV07-06101 (C.D. Cal.

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(2008)

- Retained as an expert consultant on class action issues in complex multi-jurisdictional construction dispute (*Antenucci*, et al., v. Washington Assoc. Residential Partner, LLP, et al., Civil No. 8-04194 (E.D. Pa. (2008))
- Retained as an expert consultant on complex litigation issues in multi-jurisdictional class action litigation (*McGreevey v. Montana Power Company*, No. 08-35137, U.S. Court of Appeals for the Ninth Circuit (2008))
- Retained as an expert consultant on class action and attorney fee issues in nationwide consumer class action (*Figueroa v. Sharper Image*, 517 F.Supp.2d 1292 (S.D. Fla. 2007))
- Retained as an expert consultant on attorney's fees issue in complex class action case (Natural Gas Anti-Trust Cases Coordinated Proceedings, D049206, California Court of Appeals, Fourth District (2007))
- Retained as an expert consultant on remedies and procedural matters in complex class action (Sunscreen Cases, JCCP No. 4352, California Superior Court, Los Angeles County (2006))
- Retained as an expert consultant on complex preclusion questions in petition for review to California Supreme Court (*Mooney v. Caspari*, Supreme Court of California (2006))
- Retained as an expert consultant on attorney fee issues in complex common fund case (In Re DietDrugs (Phen/Fen) Products Liability Litigation (E. D. Pa. (2006))
- Retained as an expert consultant on procedural matters in series of complex construction lien cases (*In re Venetian Lien Litigation*, Supreme Court of the State of Nevada (2005-2006))
- Served as an expert consultant on class certification issues in countywide class action (*Beauchamp v. Los Angeles Cty. Metropolitan Transp. Authority*, (C.D. Cal. 2004))
- Served as an expert consultant on class certification issues in state-wide class action (*Williams v. State of California*, Case No. 312-236, Cal. Superior Court, San Francisco)
- Served as an exert consultant on procedural aspects of complex welfare litigation (*Allen v. Anderson*, 199 F.3d 1331 (9th Cir. 1999))

## Ethics Opinions

- Retained to provided expert opinion on issues of professional ethics in complex litigation matter (*In re Professional Responsibility Inquiries* (2017))
- ♦ Provided expert opinion on issues of professional ethics in complex litigation matter (*In re Professional Responsibility Inquiries* (2013))
- ♦ Provided expert opinion on issues of professional ethics in complex litigation matter (In re

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- Professional Responsibility Inquiries (2011))
- ♦ Provided expert opinion on issues of professional ethics in implicated by nationwide class action practice (*In re Professional Responsibility Inquiries* (2010))
- ♦ Provided expert opinion on issues of professional ethics implicated by complex litigation matter (*In re Professional Responsibility Inquiries* (2010))
- ♦ Provided expert opinion on issues of professional ethics in complex litigation matter (*In re Professional Responsibility Inquiries* (2007))

## Publications on Class Actions & Procedure

- ♦ NEWBERG ON CLASS ACTIONS (sole author of supplements to 4th edition since 2008 and of 5th edition (2011-2017))
- ♦ Profit for Costs, 63 DEPAUL L. REV. 587 (2014) (with Morris A. Ratner)
- ♦ Procedure and Society: An Essay for Steve Yeazell, 61 U.C.L.A. REV. DISC. 136 (2013)
- ♦ Supreme Court Round-Up Part II, 5 CLASS ACTION ATTORNEY FEE DIGEST 331 (September 2011)
- ♦ Supreme Court Round-Up Part I, 5 CLASS ACTION ATTORNEY FEE DIGEST 263 (July-August 2011)
- ♦ Class Action Fee Award Procedures, 5 CLASS ACTION ATTORNEY FEE DIGEST 3 (January 2011)
- Benefits of Class Action Lawsuits, 4 CLASS ACTION ATTORNEY FEE DIGEST 423 (November 2010)
- ♦ Contingent Fees for Representing the Government: Developments in California Law, 4 CLASS ACTION ATTORNEY FEE DIGEST 335 (September 2010)
- ♦ Supreme Court Roundup, 4 CLASS ACTION ATTORNEY FEE DIGEST 251 (July 2010)
- ♦ SCOTUS Okays Performance Enhancements in Federal Fee Shifting Cases At Least In Principle,
   4 CLASS ACTION ATTORNEY FEE DIGEST 135 (April 2010)
- ♦ The Puzzling Persistence of the "Mega-Fund" Concept, 4 CLASS ACTION ATTORNEY FEE DIGEST 39 (February 2010)
- ♦ 2009: Class Action Fee Awards Go Out With A Bang, Not A Whimper, 3 CLASS ACTION ATTORNEY FEE DIGEST 483 (December 2009)
- ♦ Privatizing Government Litigation: Do Campaign Contributors Have An Inside Track?, 3 CLASS ACTION ATTORNEY FEE DIGEST 407 (October 2009)

- ♦ Supreme Court Preview, 3 CLASS ACTION ATTORNEY FEE DIGEST 307 (August 2009)
- ♦ Supreme Court Roundup, 3 CLASS ACTION ATTORNEY FEE DIGEST 259 (July 2009)
- ♦ What We Now Know About How Lead Plaintiffs Select Lead Counsel (And Hence Who Gets Attorney's Fees!) in Securities Cases, 3 CLASS ACTION ATTORNEY FEE DIGEST 219 (June 2009)
- ♦ Beware Of Ex Ante Incentive Award Agreements, 3 CLASS ACTION ATTORNEY FEE DIGEST 175 (May 2009)
- ♦ On What a "Common Benefit Fee" Is, Is Not, and Should Be, 3 CLASS ACTION ATTORNEY FEE DIGEST 87 (March 2009)
- ♦ 2009: Emerging Issues in Class Action Fee Awards, 3 CLASS ACTION ATTORNEY FEE DIGEST 3 (January 2009)
- ♦ 2008: The Year in Class Action Fee Awards, 2 CLASS ACTION ATTORNEY FEE DIGEST 465 (December 2008)
- ♦ The Largest Fee Award Ever!, 2 CLASS ACTION ATTORNEY FEE DIGEST 337 (September 2008)
- ♦ Why Are Fee Reductions Always 50%?: On The Imprecision of Sanctions for Imprecise Fee Submissions, 2 CLASS ACTION ATTORNEY FEE DIGEST 295 (August 2008)
- ♦ Supreme Court Round-Up, 2 CLASS ACTION ATTORNEY FEE DIGEST 257 (July 2008)
- ♦ Fee-Shifting For Wrongful Removals: A Developing Trend?, 2 CLASS ACTION ATTORNEY FEE DIGEST 177 (May 2008)
- ♦ You Cut, I Choose: (Two Recent Decisions About) Allocating Fees Among Class Counsel, 2 CLASS ACTION ATTORNEY FEE DIGEST 137 (April 2008)
- ♦ Why The Percentage Method?, 2 CLASS ACTION ATTORNEY FEE DIGEST 93 (March 2008)
- ♦ Reasonable Rates: Time To Reload The (Laffey) Matrix, 2 CLASS ACTION ATTORNEY FEE DIGEST 47 (February 2008)
- ♦ The "Lodestar Percentage: "A New Concept For Fee Decisions?, 2 CLASS ACTION ATTORNEY FEE DIGEST 3 (January 2008)
- ♦ Class Action Practice Today: An Overview, in ABA SECTION OF LITIGATION, CLASS ACTIONS TODAY 4 (2008)
- ♦ Shedding Light on Outcomes in Class Actions, in CONFIDENTIALITY, TRANSPARENCY, AND THE U.S. CIVIL JUSTICE SYSTEM 20-59 (Joseph W. Doherty, Robert T. Reville, and Laura Zakaras eds. 2008) (with Nicholas M. Pace)

- ♦ Finality in Class Action Litigation: Lessons From Habeas, 82 N.Y.U. L. REV. 791 (2007)
- ♦ The American Law Institute's New Approach to Class Action Objectors' Attorney's Fees, 1 CLASS ACTION ATTORNEY FEE DIGEST 347 (November 2007)
- ♦ The American Law Institute's New Approach to Class Action Attorney's Fees, 1 CLASS ACTION ATTORNEY FEE DIGEST 307 (October 2007)
- ♦ "The Lawyers Got More Than The Class Did!": Is It Necessarily Problematic When Attorneys Fees Exceed Class Compensation?, 1 CLASS ACTION ATTORNEY FEE DIGEST 233 (August 2007)
- ♦ Supreme Court Round-Up, 1 CLASS ACTION ATTORNEY FEE DIGEST 201 (July 2007)
- ♦ On The Difference Between Winning and Getting Fees, 1 CLASS ACTION ATTORNEY FEE DIGEST 163 (June 2007)
- ♦ Divvying Up The Pot: Who Divides Aggregate Fee Awards, How, and How Publicly?, 1 CLASS ACTION ATTORNEY FEE DIGEST 127 (May 2007)
- ♦ On Plaintiff Incentive Payments, 1 CLASS ACTION ATTORNEY FEE DIGEST 95 (April 2007)
- ♦ Percentage of What?, 1 CLASS ACTION ATTORNEY FEE DIGEST 63 (March 2007)
- ♦ Lodestar v. Percentage: The Partial Success Wrinkle, 1 CLASS ACTION ATTORNEY FEE DIGEST 31 (February 2007)(with Alan Hirsch)
- ♦ The Fairness Hearing: Adversarial and Regulatory Approaches, 53 U.C.L.A. L. REV. 1435 (2006) (excerpted in THE LAW OF CLASS ACTIONS AND OTHER AGGREGATE LITIGATION 447-449 (Richard A. Nagareda ed., 2009))
- Why Enable Litigation? A Positive Externalities Theory of the Small Claims Class Action, 74 U.M.K.C. L. REV. 709 (2006)
- ♦ On What a "Private Attorney General" Is And Why It Matters, 57 VAND. L. REV. 2129(2004) (excerpted in COMPLEX LITIGATION 63-72 (Kevin R. Johnson, Catherine A. Rogers & John Valery White eds., 2009)).
- ♦ The Concept of Equality in Civil Procedure, 23 CARDOZO L. REV. 1865 (2002) (selected for the Stanford/Yale Junior Faculty Forum, June 2001)
- ♦ A Transactional Model of Adjudication, 89 GEORGETOWN L.J. 371 (2000)
- ♦ The Myth of Superiority, 16 CONSTITUTIONAL COMMENTARY 599 (1999)
- ♦ Divided We Litigate: Addressing Disputes Among Clients and Lawyers in Civil Rights Campaigns, 106 YALE L. J. 1623 (1997) (excerpted in COMPLEX LITIGATION 120-123 (1998))

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#### Selected Presentations

- ♦ Class Action Update, MDL Transferee Judges Conference, Palm Beach, Florida, November 1, 2017
- ♦ Class Action Update, MDL Transferee Judges Conference, Palm Beach, Florida, November 2, 2016
- ♦ Judicial Power and its Limits in Multidistrict Litigation, American Law Institute, Young Scholars Medal Conference, The Future of Aggregate Litigation, New York University School of Law, New York, New York, April 12, 2016
- ♦ Class Action Update & Attorneys' Fees Issues Checklist, MDL Transferee Judges Conference, Palm Beach, Florida, October 28, 2015
- ♦ Class Action Law, 2015 Ninth Circuit/Federal Judicial Center Mid-Winter Workshop, Tucson, Arizona, January 26, 2015
- ♦ Recent Developments in Class Action Law, MDL Transferee Judges Conference, Palm Beach, Florida, October 29, 2014
- ♦ Recent Developments in Class Action Law, MDL Transferee Judges Conference, Palm Beach, Florida, October 29, 2013
- Class Action Remedies, ABA 2013 National Institute on Class Actions, Boston, Massachusetts, October 23, 2013
- ♦ The Public Life of the Private Law: The Logic and Experience of Mass Litigation Conference in Honor of Richard Nagareda, Vanderbilt Law School, Nashville, Tennessee, September 27-28, 2013
- ♦ Brave New World: The Changing Face of Litigation and Law Firm Finance, Clifford Symposium 2013, DePaul University College of Law, Chicago, Illinois, April 18-19, 2013
- ♦ Twenty-First Century Litigation: Pathologies and Possibilities: A Symposium in Honor of Stephen Yeazell, UCLA Law Review, UCLA School of Law, Los Angeles, California, January 24-25, 2013
- ♦ Litigation's Mirror: The Procedural Consequences of Social Relationships, Sidley Austin Professor of Law Chair Talk, Harvard Law School, Cambridge, Massachusetts, October 17, 2012
- ♦ Alternative Litigation Funding (ALF) in the Class Action Context Some Initial Thoughts, Alternative Litigation Funding: A Roundtable Discussion Among Experts, George Washington University Law School, Washington, D.C., May 2, 2012
- ♦ The Operation of Preclusion in Multidistrict Litigation (MDL) Cases, Brooklyn Law School Faculty Workshop, Brooklyn, New York, April 2, 2012

- ♦ The Operation of Preclusion in Multidistrict Litigation (MDL) Cases, Loyola Law School Faculty Workshop, Los Angeles, California, February 2, 2012
- ♦ Recent Developments in Class Action Law and Impact on MDL Cases, MDL Transferee Judges Conference, Palm Beach, Florida, November 2, 2011
- Recent Developments in Class Action Law, MDL Transferee Judges Conference, Palm Beach, Florida, October 26, 2010
- ♦ A General Theory of the Class Suit, University of Houston Law Center Colloquium, Houston, Texas, February 3, 2010
- ♦ Unpacking The "Rigorous Analysis" Standard, ALI-ABA 12<sup>th</sup> Annual National Institute on Class Actions, New York, New York, November 7, 2008
- ♦ The Public Role in Private Law Enforcement: Visions from CAFA, University of California (Boalt Hall) School of Law Civil Justice Workshop, Berkeley, California, February 28, 2008
- ♦ The Public Role in Private Law Enforcement: Visions from CAFA, University of Pennsylvania Law Review Symposium, Philadelphia, Pennsylvania, Dec. 1, 2007
- Current CAFA Consequences: Has Class Action Practice Changed?, ALI-ABA 11<sup>th</sup> Annual National Institute on Class Actions, Chicago, Illinois, October 17, 2007
- Using Law Professors as Expert Witnesses in Class Action Lawsuits, ALI-ABA 10<sup>th</sup> Annual National Institute on Class Actions, San Diego, California, October 6, 2006
- ♦ Three Models for Transnational Class Actions, Globalization of Class Action Panel, International Law Association 2006 Conference, Toronto, Canada, June 6, 2006
- Why Create Litigation?: A Positive Externalities Theory of the Small Claims Class Action, UMKC Law Review Symposium, Kansas City, Missouri, April 7, 2006
- Marks, Bonds, and Labels: Three New Proposals for Private Oversight of Class Action Settlements, UCLA Law Review Symposium, Los Angeles, California, January 26, 2006
- Class Action Fairness Act, Arnold & Porter, Los Angeles, California, December 6, 2005
- ♦ ALI-ABA 9<sup>th</sup> Annual National Institute on Class Actions, Chicago, Illinois, September 23, 2005
- ♦ Class Action Fairness Act, UCLA Alumni Assoc., Los Angeles, California, September 9, 2005
- Class Action Fairness Act, Thelen Reid & Priest, Los Angeles, California, May 12, 2005
- ♦ Class Action Fairness Act, Sidley Austin, Los Angeles, California, May 10, 2005

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- Class Action Fairness Act, Munger, Tolles & Olson, Los Angeles, California, April 28, 2005
- Class Action Fairness Act, Akin Gump Strauss Hauer Feld, Century City, CA, April 20, 2005

#### SELECTED OTHER LITIGATION EXPERIENCE

## United States Supreme Court

- ♦ Co-counsel on petition for writ of *certiorari* concerning application of the voluntary cessation doctrine to government defendants (*Rosebrock v. Hoffman*, 135 S. Ct.1893 (2015))
- ♦ Authored *amicus* brief filed on behalf of civil procedure and complex litigation law professors concerning the importance of the class action lawsuit (*AT&T Mobility v. Concepcion*, No. 09-893, 131 S. Ct. 1740 (2011))
- ♦ Co-counsel in constitutional challenge to display of Christian cross on federal land in California's Mojave preserve (Salazar v. Buono, 130 S. Ct. 1803 (2010))
- ♦ Co-authored *amicus* brief filed on behalf of constitutional law professors arguing against constitutionality of Texas criminal law (*Lawrence v. Texas*, 539 U.S. 558 (2003))
- ♦ Co-authored amicus brief on scope of Miranda (Illinois v. Perkins, 496 U.S. 292 (1990))

# Attorney's Fees

- Appointed by the United States Court of Appeals for the Second Circuit to argue for affirmance of district court fee decision in complex securities class action, with result that the Court summarily affirmed the decision below (*In re Indymac Mortgage-Backed Securities Litigation*, 94 F.Supp.3d 517 (S.D.N.Y. 2015), aff'd sub. nom., Berman DeValerio v. Olinsky, No. 15-1310-cv, 2016 WL 7323980 (2d Cir. Dec. 16, 2017))
- Served as *amicus curiae* and co-authored *amicus* brief on proper approach to attorney's fees in common fund cases, relied on by the court in *Laffitte v. Robert Half Int'l Inc.*, 1 Cal. 5th 480, 504, 376 P.3d 672, 687 (2016).

# Consumer Class Action

- ♦ Co-counsel in challenge to antenna-related design defect in Apple's iPhone4 (*Dydyk v. Apple Inc.*,
   5:10-cv-02897-HRL, U.S. Dist. Court, N.D. Cal.) (complaint filed June 30, 2010)
- ♦ Co-class counsel in \$8.5 million nationwide class action settlement challenging privacy concerns raised by Google's Buzz social networking program (*In re Google Buzz Privacy Litigation*, 5:10-cv-00672-JW, U.S. Dist. Court, N.D. Cal.) (amended final judgment June 2, 2011)

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## Disability

♦ Co-counsel in successful ADA challenge (\$500,000 jury verdict) to the denial of health care in emergency room (*Howe v. Hull*, 874 F. Supp. 779, 873 F. Supp 72 (N.D. Ohio 1994))

# **Employment**

♦ Co-counsel in challenges to scope of family benefit programs (Ross v. Denver Dept. of Health, 883
 P.2d 516 (Colo. App. 1994)); (Phillips v. Wisc. Personnel Com h, 482 N.W.2d 121 (Wisc. 1992))

# **Equal Protection**

- ♦ Co-counsel in (state court phases of) successful challenge to constitutionality of a Colorado ballot initiative, Amendment 2 (*Evans v. Romer*, 882 P.2d 1335 (Colo. 1994))
- ♦ Co-counsel (and *amici*) in challenges to rules barring military service by gay people (*Able v. United States*, 44 F.3d 128 (2d Cir. 1995); *Steffan v. Perry*, 41 F.3d 677 (D.C. Cir. 1994) (en banc))
- ♦ Co-counsel in challenge to the constitutionality of the Attorney General of Georgia's firing of staff attorney (*Shahar v. Bowers*, 120 F.3d 211 (11<sup>th</sup> Cir. 1997))

## Fair Housing

♦ Co-counsel in successful Fair Housing Act case on behalf of group home (*Hogar Agua y Vida En el Desierto v. Suarez-Medina*, 36 F.3d 177 (1st Cir. 1994))

#### Family Law

- ♦ Co-counsel in challenge to constitutionality of Florida law limiting adoption (*Cox v. Florida Dept. of Health and Rehab. Srvcs.*, 656 So.2d 902 (Fla. 1995))
- ♦ Co-authored amicus brief in successful challenge to Hawaii ban on same-sex marriages (Baehr v. Lewin, 852 P.2d 44 (Haw. 1993))

#### First Amendment

- ♦ Co-counsel in successful challenge to constitutionality of Alabama law barring state funding foruniversity student groups (GLBA v. Sessions, 930 F.Supp. 1492 (M.D. Ala. 1996))
- ♦ Co-counsel in successful challenge to content restrictions on grants for AIDS education materials (Gay Men's Health Crisis v. Sullivan, 792 F.Supp. 278 (S.D.N.Y. 1992))

# Landlord / Tenant

♦ Lead counsel in successful challenge to rent control regulation (Braschi v. Stahl Associates Co., 544 N.E.2d 49 (N.Y. 1989))

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#### Police

♦ Co-counsel in case challenging DEA brutality (*Anderson v. Branen*, 27 F.3d 29 (2<sup>nd</sup> Cir. 1994))

# Racial Equality

♦ Co-authored *amicus* brief for constitutional law professors challenging constitutionality of Proposition 209 (Coalition for Economic Equity v. Wilson, 110 F.3d 1431 (9th Cir. 1997))

#### SELECTED OTHER PUBLICATIONS

#### **Editorials**

- ♦ Follow the Leaders, NEW YORK TIMES, March 15, 2005
- ♦ Play It Straight, NEW YORK TIMES, October 16, 2004
- ♦ Hiding Behind the Constitution, NEW YORK TIMES, March 20, 2004
- ♦ Toward More Perfect Unions, NEW YORK TIMES, November 20, 2003 (with Brad Sears)
- ♦ Don't Ask, Don't Tell. Don't Believe It, NEW YORK TIMES, July 20, 1993
- ♦ AIDS: Illness and Injustice, WASH. POST, July 26, 1992 (with Nan D. Hunter)

## BAR ADMISSIONS

- ♦ Massachusetts (2008)
- ♦ California (2004)
- ♦ District of Columbia (1987) (inactive)
- ♦ Pennsylvania (1986) (inactive)
- ♦ U.S. Supreme Court (1993)
- ♦ U.S. Court of Appeals for the First Circuit (2010)
- ♦ U.S. Court of Appeals for the Second Circuit (2015)
- ♦ U.S. Court of Appeals for the Fifth Circuit (1989)
- ♦ U.S. Court of Appeals for the Ninth Circuit (2004)
- ♦ U.S. Court of Appeals for the Eleventh Circuit (1993)
- ♦ U.S. Court of Appeals for the D.C. Circuit (1993)
- ♦ U.S. District Courts for the Central District of California (2004)
- ♦ U.S. District Court for the District of the District of Columbia (1989)
- ♦ U.S. District Court for the District of Massachusetts (2010)
- ♦ U.S. District Court for the Northern District of California (2010)